

Chubu Summer School
September 3, 2015

BPS index of E-strings

Kazuhiro Sakai

(Meiji Gakuin University)

JHEP12(2014)047
(arXiv:1408.3619)

String Theory predicts the existence of nontrivial QFTs in 6D.

- The worldvolume theory of multiple M5 branes
 - (2,0) SUSY (16 supercharges)

What about theories with (1,0) SUSY?

- Heterotic string theories on K3 (16 \rightarrow 8 supercharges)

What happens when instantons in K3 shrink to zero size?

- Small $SO(32)$ instantons

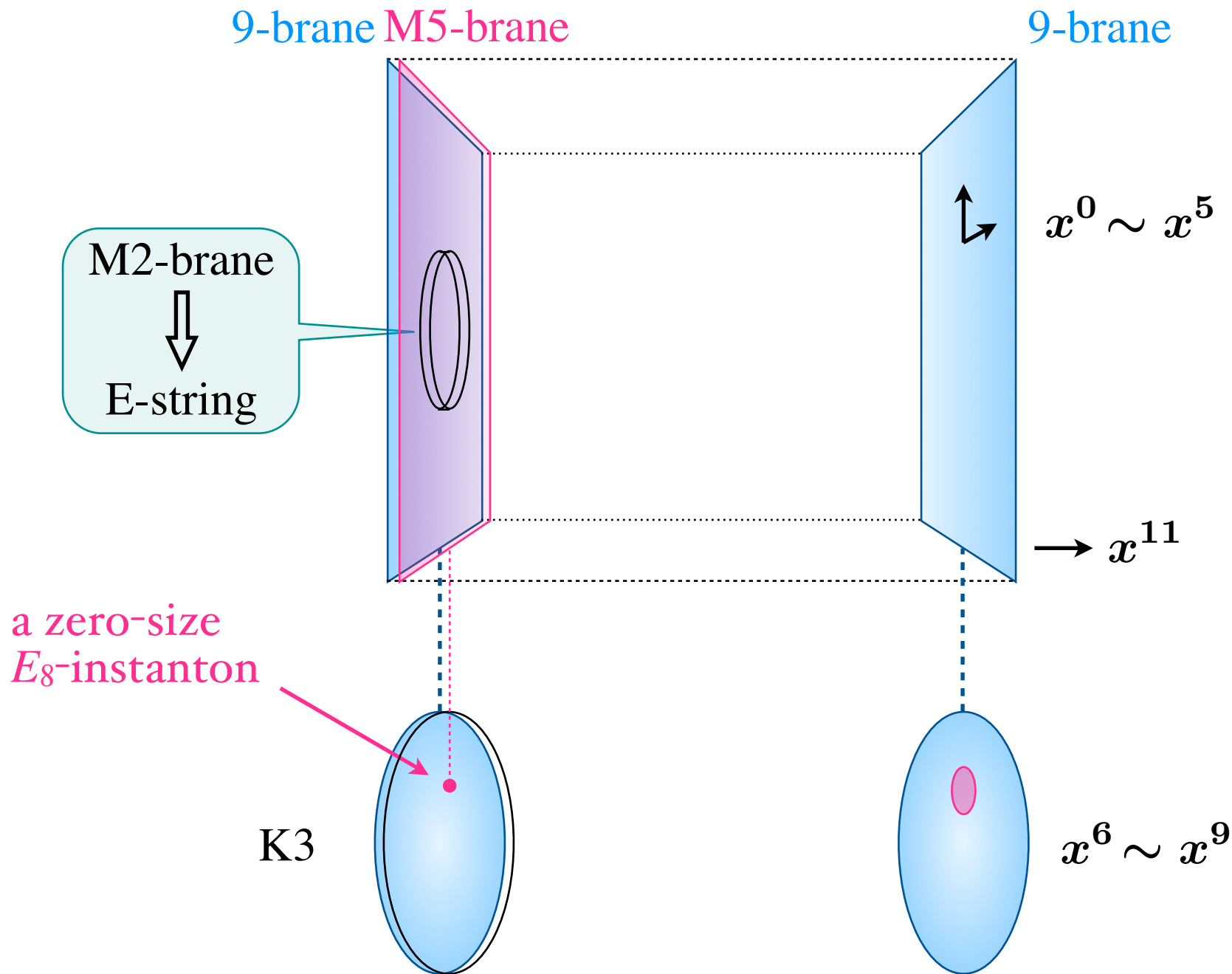
\Rightarrow extra $Sp(n)$ gauge symmetry

(Witten '95)

\Leftrightarrow worldvolume theory of n type I D5-branes

- What about small E_8 instantons?

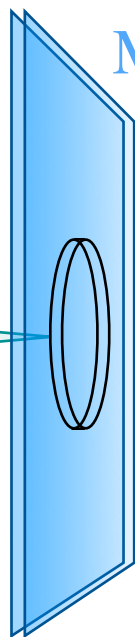
M-theory description of the $E_8 \times E_8$ heterotic string theory on K3



What is E-string?

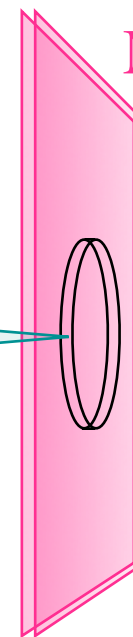
M9-brane M9-brane

M2-brane
↓
 $E_8 \times E_8$
heterotic string



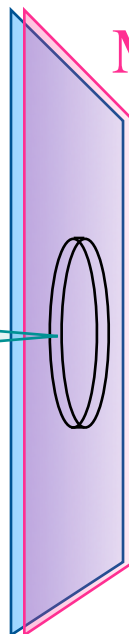
M5-brane M5-brane

M2-brane
↓
M-string



M9-brane M5-brane

M2-brane
↓
E-string



6次元理論の分類

構成要素 (零質量の場合)

ハイパー多重項 (物質場)
ベクトル多重項 (ゲージ場)
テンソル多重項

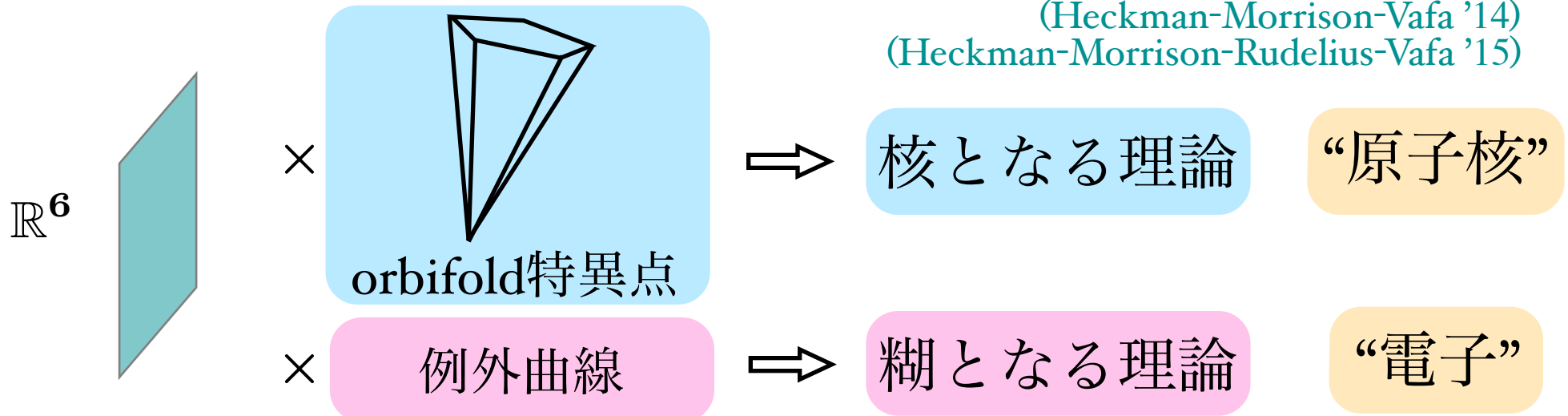
アノマリー相殺条件：場の構成に制限を与える

例) SU(2) ゲージ理論

許される物質場の数は $N_f = 4, 10, 16$ あるいは $N_a = 1$ の 4 種類

F理論コンパクト化による 6次元SCFTの構成と分類 (提案)

(Heckman-Morrison-Vafa '14)
(Heckman-Morrison-Rudelius-Vafa '15)



E-string theory

(Ganor-Hanany '96) (Seiberg-Witten '96) (Klemm-Mayr-Vafa '96)

(Ganor-Morrison-Seiberg '96) (Minahan-Nemeschansky-Vafa-Warner '98)

- 6d (1,0)-supersymmetric field theory
- decoupled from gravity
- no vector multiplets
- Coulomb branch --- a tensor multiplet
- Higgs branch \cong the moduli space of an E_8 instanton
- fundamental excitations --- strings
- global E_8 symmetry
- no Lagrangian description

Why is the E-string theory interesting?

- An elementary supersymmetric field theory in 6d.

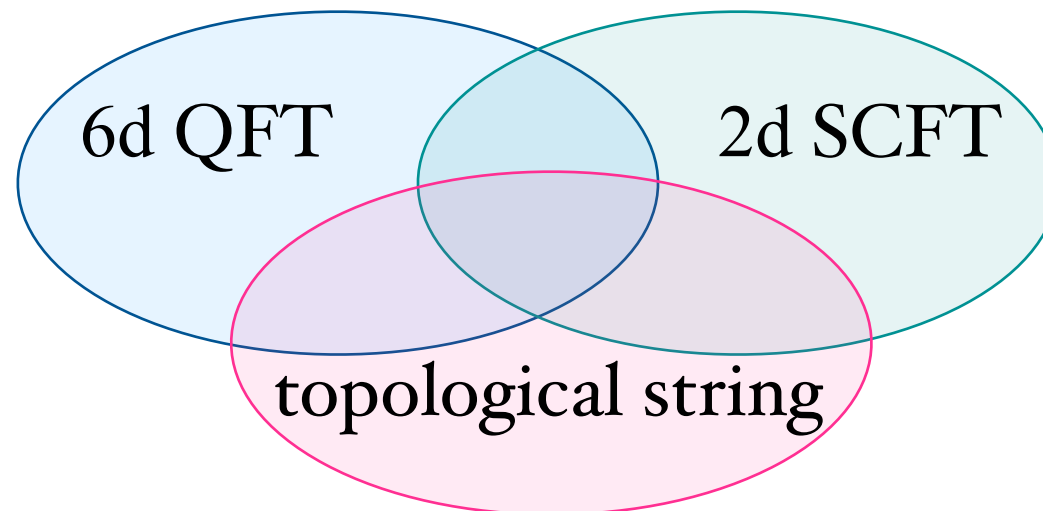
It consists of just one tensor multiplet.

The “glue” which holds together all of the other
(1,0) theories.

(Heckman-Morrison-Vafa '14)

(Heckman-Morrison-Rudelius-Vafa '15)

- The mother theory of almost all gauge theories with rank-one gauge groups in 5d and 4d.
- The place where three entirely different physics meet.



- Supersymmetric (BPS) index

$$I(\mu) = \text{Tr}(-1)^F e^{i \sum_a \mu_a J_a}$$

F : fermion number

J_a : global symmetry charges

μ_a : chemical potentials

(partition function)

$$Z = \text{Tr} e^{-\beta H}$$

BPS(i.e. supersymmetry protected) quantities of E-strings

6d field theory
(5d effective theory)

BPS index

Seiberg-Witten theory
Nekrasov partition function

world-sheet theory
(2d SCFT)

elliptic genus

2d gauge theory
supersymmetric localization

topological string
(on local 1/2 K3)

partition function

Topological vertex
Holomorphic anomaly equation

BPS index

E-string theory on $\mathbb{R}^5 \times S^1$

= effective 5d theory with infinitely many of particles

$$Z(\phi, \tau, \vec{m}, \epsilon_1, \epsilon_2) := \text{Tr} (-1)^{2J_L + 2J_R} y_L^{J_L} y_R^{J_R + J_I} p^n q^k e^{i\vec{\Lambda} \cdot \vec{m}}$$

$$y_L := e^{i(\epsilon_1 - \epsilon_2)}, \quad y_R := e^{i(\epsilon_1 + \epsilon_2)}, \quad p := e^{-\phi}, \quad q := e^{2\pi i \tau}$$

J_L, J_R, J_I : spins of Lorentz and R-symmetries

$\vec{\Lambda}$: weight of E_8 , n : winding number, k : momentum

Expansions

$$\ln Z = \sum_{n=0}^{\infty} \sum_{g=0}^{\infty} (\epsilon_1 + \epsilon_2)^{2n} (-\epsilon_1 \epsilon_2)^{g-1} F^{(n,g)}$$

topological string amplitudes

$F^{(0,0)}$: Seiberg-Witten prepotential

$$Z = 1 + \sum_{n=1}^{\infty} p^n Z_n$$

Elliptic genus of n E-strings

Elliptic genera

The worldsheet theory of the E-string theory
= 2d (0,4) superconformal field theory (SCFT)

Elliptic genus

(Shellekens-Warner '86)
(Witten '87)

$$\mathrm{Tr}_{\mathbf{R}} (-1)^F q^{H_L} \bar{q}^{H_R} \prod_a x_a^{K_a}$$

F : fermion number

H_L, H_R : left/right Hamiltonians

K_a : Cartan generators of the global symmetry

x_a : fugacities

$q = e^{2\pi i\tau}$, τ : complex structure of a torus

Seiberg-Witten theory

(Seiberg-Witten '94)

- Exact solution to the low energy theory of 4d $\mathcal{N} = 2$ SYM

Low energy effective Lagrangian

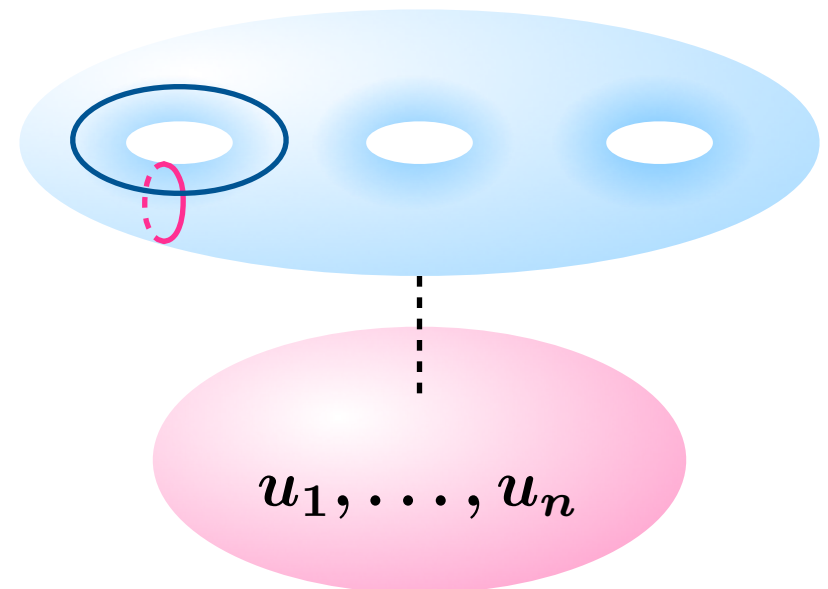
$$\mathcal{L}_{\text{eff}} = \frac{1}{4\pi} \text{Im} \left[\int d^4\theta \frac{\partial F_0(A)}{\partial A^i} \bar{A}^i + \int d^2\theta \frac{1}{2} \frac{\partial^2 F_0(A)}{\partial A^i \partial A^j} W_\alpha^i W^{\alpha j} \right]$$

prepotential $F_0(a_1, \dots, a_n)$: holomorphic function

Seiberg-Witten curve

λ_{sw} : Seiberg-Witten differential

$$a_i = \oint_{\alpha_i} \lambda_{\text{sw}}, \quad \frac{\partial F}{\partial a_i} = \oint_{\beta_i} \lambda_{\text{sw}}$$



4d pure SU(2) super Yang-Mills theory

Seiberg-Witten curve

$$y^2 = x^3 - ux^2 + \frac{1}{4}\Lambda^4 x$$

$$a(u, \Lambda) = \int du \oint_{\tilde{\alpha}} \frac{dx}{y}, \quad \frac{\partial F_0}{\partial a}(u, \Lambda) = \int du \oint_{\tilde{\beta}} \frac{dx}{y}$$



$$F_0(a) = \frac{1}{2}\tau_0 a^2 + \frac{i}{\pi} a^2 \ln \frac{a^2}{\Lambda^2} + \frac{1}{2\pi i} a^2 \sum_{k=1}^{\infty} c_k \left(\frac{\Lambda}{a}\right)^4$$

$$c_1 = \frac{1}{2^5}, \quad c_2 = \frac{5}{2^{14}}, \quad c_3 = \frac{3}{2^{18}}, \quad c_4 = \frac{1469}{2^{31}}, \quad \dots$$

E-string theory (with unbroken E_8 symmetry)

Seiberg-Witten curve

$$y^2 = 4x^3 - \frac{1}{12}E_4u^4x - \frac{1}{216}E_6u^6 + 4u^5$$

$$\varphi(u, \tau) = \int du \oint_{\tilde{\alpha}} \frac{dx}{y}, \quad \frac{\partial F_0}{\partial \varphi}(u, \tau) = \int du \oint_{\tilde{\beta}} \frac{dx}{y}$$



$$F_0(\varphi, \tau) = \sum_{n=1}^{\infty} e^{2\pi i n \varphi} q^{\frac{n}{2}} Z_n(\tau)$$

$$Z_1 = \frac{E_4}{\eta^{12}}, \quad Z_2 = \frac{E_2E_4^2 + 2E_4E_6}{24\eta^{24}}, \quad \dots$$

$$Z_n = \frac{P_{6n-2}(E_2, E_4, E_6)}{\eta^{12n}}$$

(Minahan-Nemeschansky-Warner '97)

The most general SW curve has been determined. (Eguchi-K.S. '02)

Modular forms

- Eisenstein series E_{2n} ($n \geq 2$) satisfy

$$E_{2n}(\tau + 1) = E_{2n}(\tau), \quad E_{2n}\left(-\frac{1}{\tau}\right) = \tau^{2n} E_{2n}(\tau)$$

- E_{2n} ($n \geq 4$) are generated by E_4, E_6

- E_2 is anomalous:

$$E_2\left(-\frac{1}{\tau}\right) = \tau^2 \left(E_2(\tau) + \frac{6}{\pi i \tau} \right)$$

- \hat{E}_2 transforms as a modular form of weight 2

$$\hat{E}_2(\tau, \bar{\tau}) := E_2(\tau) + \frac{6}{\pi i(\tau - \bar{\tau})}$$

modular anomaly



holomorphic anomaly

Nekrasov partition function

(Nekrasov '02)

(for pure $U(N)$ theory; instanton part; $\epsilon_1 = -\epsilon_2 = \hbar$)

for 5D theory (in $\mathbb{R}^4 \times S^1$)

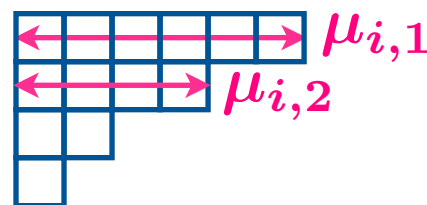
$$Z = \sum_R \Lambda^{|R|} \prod_{i,j=1}^N \prod_{k,l=1}^{\infty} \frac{\sinh \beta (a_{ij} + \hbar(\mu_{i,k} - \mu_{j,l} + l - k))}{\sinh \beta (a_{ij} + \hbar(l - k))}$$

$$R = (R_1, \dots, R_N)$$

R_i : partition

$$(a_{ij} := a_i - a_j)$$

$$Z = \exp \sum_{g=0}^{\infty} F_g \hbar^{2g-2}$$



$\Rightarrow F_0$: prepotential

Nekrasov-type expression for the prepotential

(K.S. '12)

$$F_0 = (2\hbar^2 \ln \mathcal{Z}) \Big|_{\hbar=0}$$

$$\mathcal{Z} = \sum_{\vec{R}} Q^{|\vec{R}|} \prod_{a,b,c,d} \prod_{(i,j) \in R_{ab}} \frac{\vartheta_{ab} \left(\frac{1}{2\pi} (j-i)\hbar, \tau \right)^2}{\vartheta_{1-|a-c|, 1-|b-d|} \left(\frac{1}{2\pi} h_{ab,cd}(i,j)\hbar, \tau \right)^2}$$

$$\vec{R} = (R_{11}, R_{10}, R_{00}, R_{01}) \quad R_{ab} : \text{partition}$$

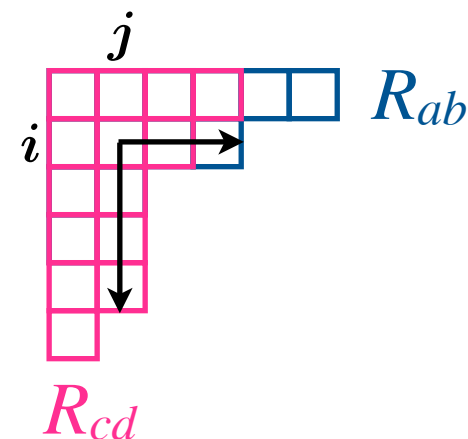
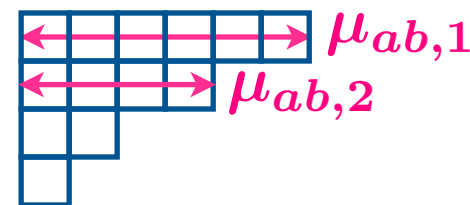
$$a, b, c, d = 0, 1$$

$\vartheta_{ab}(z, \tau)$: Jacobi theta functions

$$h_{ab,cd}(i, j) := \mu_{ab,i} + \mu_{cd,j}^\vee - i - j + 1$$

(relative hook-length)

$$Q = q^{1/2} p$$



Higher genus amplitudes

- F_g is determined by the modular anomaly eq.

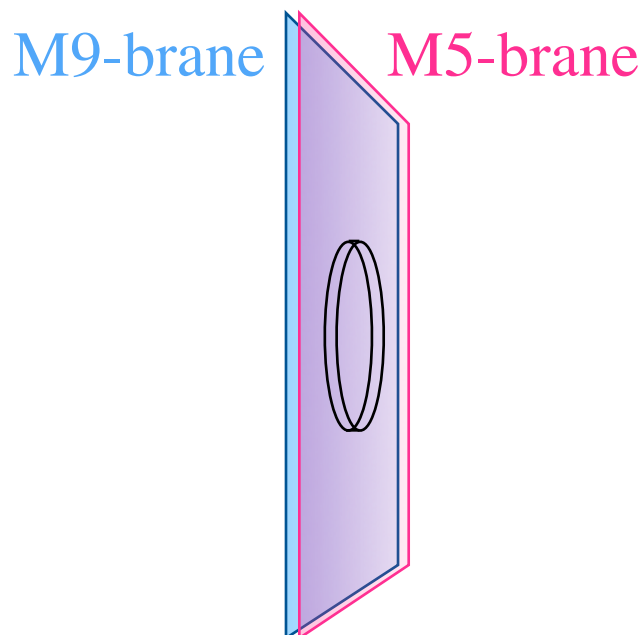
$$\partial_{E_2} Z = -\frac{1}{24} [\epsilon_1 \epsilon_2 \partial_\phi (\partial_\phi - 1) + (\epsilon_1 + \epsilon_2)^2 \partial_\phi] Z$$

(Hosono-Saito-Takahashi '99) (Huang-Klemm-Poretschkin '13)

and the data of suitable “boundary conditions”

- An improved ansatz based on the domain-wall construction

(Haghighat-Lockhart-Vafa '14)



A reduced BPS index

The general refined BPS index is a function in twelve variables:

(Klemm-Mayr-Vafa '96) (Minahan-Nemeschansky-Vafa-Warner '98)

$$Z(\phi, \tau, \vec{m}, \epsilon_1, \epsilon_2) := \text{Tr} (-1)^{2J_L + 2J_R} y_L^{J_L} y_R^{J_R + J_I} p^n q^k e^{i\vec{\Lambda} \cdot \vec{m}}$$

$\epsilon_1, \epsilon_2, m_1, \dots, m_8$: chemical potentials for global symmetry $\text{SO}(4) \times E_8$

ϕ, τ : tension of E-strings, inverse of the radius of S^1

▶ a very complicated function

⇒ consider special cases with less parameters

until now: unbroken E_8

$$m_1 = \dots = m_8 = 0$$

this time: $D_4 + D_4$ twist

$$m_1 = m_2 = 0,$$

$$m_3 = m_4 = \pi,$$

$$m_5 = m_6 = -\pi - \pi\tau,$$

$$m_7 = m_8 = \pi\tau.$$

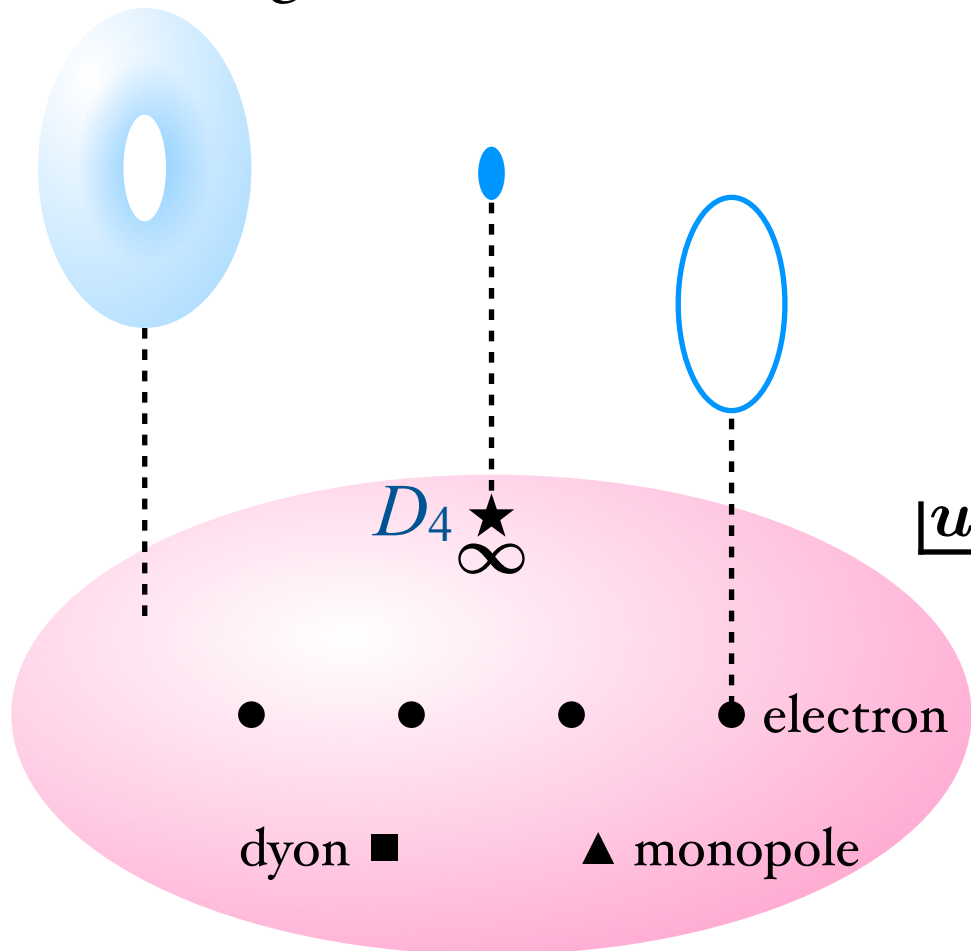
- simpler than the unbroken E_8 case!
- modular properties are kept intact

E-strings under the D_4+D_4 twist vs. 4d $SU(2)$ $N_f=4$ theory

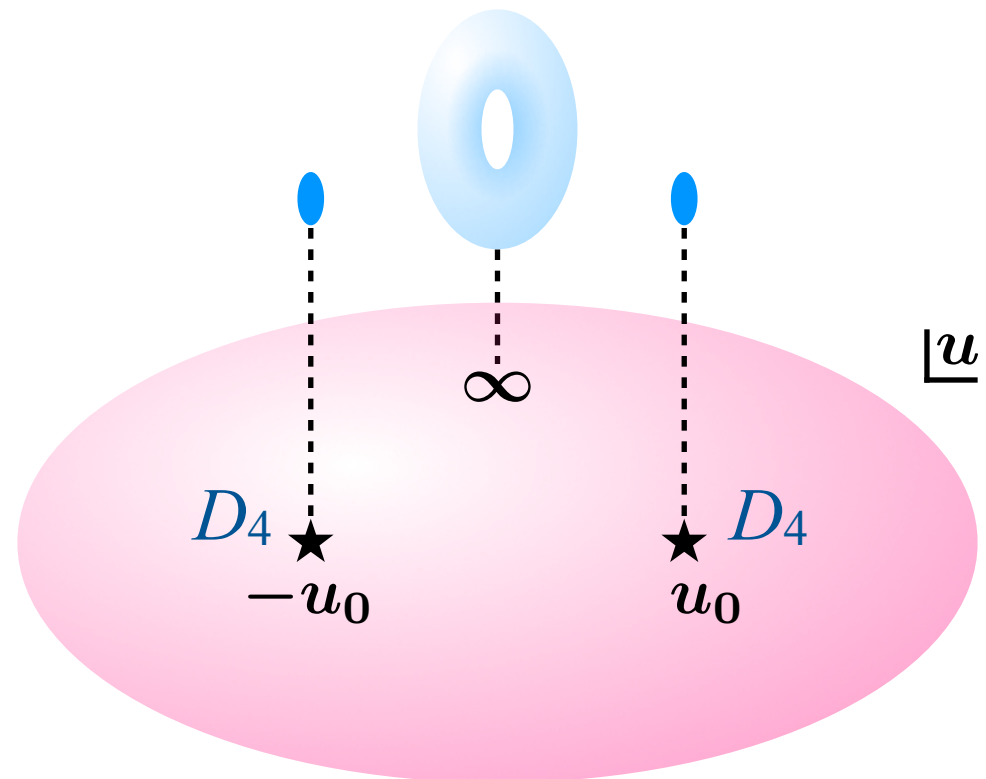
Monodromy around D_4 singularity

$$\begin{pmatrix} a_D(u) \\ a(u) \end{pmatrix} \rightarrow \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a_D(u) \\ a(u) \end{pmatrix}$$

Seiberg-Witten curve



Moduli space of $SU(2)$ $N_f=4$ theory



Moduli space of the E-string theory under the D_4+D_4 twist

Main results

- We propose a reduced index of E-strings
 - ▶ corresponding to the D_4+D_4 twist
 - ▶ very much simplified, easy to deal with
 - ▶ The index satisfies the same modular anomaly equation as that of the original BPS index
- We study the index by performing three kinds of expansions that correspond to three entirely different physical pictures
- We find that the reduced index gives a novel trigonometric generalization of the Nekrasov partition function for 4d $\mathcal{N} = 2$ SU(2) super Yang-Mills with massless $N_f = 4$ flavors.

Definition of the reduced index of E-strings

$$Z(\phi, \tau, \epsilon_1, \epsilon_2) :=$$

$$\text{Tr} (-1)^{2J_L + 2J_R + \Lambda_3 + \Lambda_4 - \Lambda_5 - \Lambda_6} y_L^{J_L} y_R^{J_R + J_I} p^n q^k + (-\Lambda_5 - \Lambda_6 + \Lambda_7 + \Lambda_8)/2$$

D₄+D₄ twist

$$y_L := e^{i(\epsilon_1 - \epsilon_2)}, \quad y_R := e^{i(\epsilon_1 + \epsilon_2)}, \quad p := e^{-\phi}, \quad q := e^{2\pi i \tau}$$

J_L, J_R, J_I : spins of Lorentz and R symmetries $\Lambda_1, \dots, \Lambda_8$: E_8 weight

n, k : winding number and momentum along S^1

Expansions	expansion coefficients
(1) expansion in ϵ_1, ϵ_2 (genus expansion)	topological string amplitudes
(2) expansion in q (instanton expansion)	instanton corrections (spacetime picture)
(3) expansion in p (winding # expansion)	elliptic genera (worldsheet picture)

(1) genus expansion and topological string amplitudes

$$\ln Z = F = \sum_{g=0}^{\infty} \hbar^{2g-2} F_g(\phi, \tau) \quad F_g : \text{topological string amplitudes for local } 1/2 \text{ K3}$$

(We set $\epsilon_1 = -\epsilon_2 = \hbar$) (evaluated under D_4+D_4 twist)

$$F_0 = 0,$$

$$F_1 = -\frac{1}{2} \ln(1 - e^{-2\phi}),$$

$$F_2 = \frac{1}{32} \frac{E_2}{\sinh^2 \phi},$$

$$F_3 = \frac{1}{768} \frac{3E_2^2 + E_4}{\sinh^2 \phi} + \frac{1}{384} \frac{2E_2^2 + E_4}{\sinh^4 \phi},$$

$$F_4 = \frac{1}{46080} \frac{15E_2^3 + 15E_2E_4 + 4E_6}{\sinh^2 \phi} + \frac{1}{55296} \frac{107E_2^3 + 144E_2E_4 + 55E_6}{\sinh^4 \phi} + \frac{1}{6144} \frac{11E_2^3 + 16E_2E_4 + 7E_6}{\sinh^6 \phi},$$

⋮

• infinite power series in $p^2 = e^{-2\phi}$ are expressed in closed forms

• $\phi \rightarrow \beta a, \hbar \rightarrow -i\beta\hbar, \beta \rightarrow 0$ limit = 4d SU(2) $N_f=4$ Nekrasov

• F_g is determined by the modular anomaly eq.

$$\partial_{E_2} Z = -\frac{1}{24} [\epsilon_1 \epsilon_2 \partial_\phi (\partial_\phi - 1) + (\epsilon_1 + \epsilon_2)^2 \partial_\phi] Z$$

and the data of q -expansion (next slide)

(2) q -expansion: perturbative term and instanton corrections

$$F = F^{\text{pert}}(\phi, \epsilon_1, \epsilon_2) + \sum_{k=1}^{\infty} q^k F_k^{q\text{-inst}}(\phi, \epsilon_1, \epsilon_2)$$

- perturbative term (conjecture; verified for $\phi \rightarrow \pm\infty$, $\phi \rightarrow 0$)

$$F^{\text{pert}} = - \sum_{n=1}^{\infty} \frac{\sin^2(n\epsilon_1/2) + \sin^2(n\epsilon_2/2) + \sin^2(n(\epsilon_1 + \epsilon_2)/2)}{n \sin(n\epsilon_1) \sin(n\epsilon_2)} e^{-2n\phi}$$

$$Z^{\text{pert}} = \exp(F^{\text{pert}}) = \frac{\left[\prod_{k,l=1}^{\infty} \left(1 - q_1^{2k-1} t_2^{2l-1} p^2 \right) \right]^8}{\left[\prod_{k,l=1}^{\infty} \left(1 - q_1^{k-1} t_2^l p^2 \right) \right] \left[\prod_{k,l=1}^{\infty} \left(1 - q_1^k t_2^{l-1} p^2 \right) \right]}$$

▶ similar to 5d $SU(2)$ $N_f=4$ $Z_{\text{Nekrasov}}^{\text{pert}}$ ($q_1 := e^{i\epsilon_1}$, $t_2 := e^{-i\epsilon_2}$)

- instanton corrections (here we present numerators only; $\epsilon_1 = -\epsilon_2 = \hbar$)

$$\left[F_1^{q\text{-inst}} \right]_{\text{denom}} = \cos^2 \frac{\hbar}{2} \left(\sinh^2 \phi + \sin^2 \frac{\hbar}{2} \right)^2$$

$$\left[F_2^{q\text{-inst}} \right]_{\text{denom}} = 2 \cos^2 \frac{\hbar}{2} \cos^2 \frac{2\hbar}{2} \left(\sinh^2 \phi + \sin^2 \frac{\hbar}{2} \right)^4 \left(\sinh^2 \phi + \sin^2 \frac{3\hbar}{2} \right)^2$$

▶ this gives us a hint about how to generalize 4d Z_{Nekrasov} to 6d

(3) winding number expansion and elliptic genera

$$Z = 1 + \sum_{n=1}^{\infty} p^{2n} Z_{2n}(\tau, \epsilon_1, \epsilon_2) \quad Z_{2n} : \text{Elliptic genus of } 2n \text{ E-strings}$$

(evaluated under D_4+D_4 twist)

- Explicit form of Z_2 :

$$Z_2 = 2 \frac{\vartheta_1(\epsilon_1)\vartheta_1(\epsilon_2)\vartheta_1(\epsilon_3)}{\eta^3 \vartheta_1(2\epsilon_1)\vartheta_1(2\epsilon_2)} (\zeta(\epsilon_1) + \zeta(\epsilon_2) + \zeta(\epsilon_3))$$

$(\epsilon_3 := -\epsilon_1 - \epsilon_2)$

▶ It follows from the general form of Z_2 (Haghighat-Lockhart-Vafa '14)

- Conjecture about Z_{2n} (based on modularity and singularity structure)

$$Z_{2n} = \frac{1}{\eta^{8(n^3-n)}} \frac{\vartheta_1(\hbar)^{(8n^3-2n)/3}}{\prod_{k=1}^n \vartheta_1(2k\hbar)^2} \left[\text{polynomial in } \wp(\hbar), E_4, E_6 \text{ of weight } \frac{8(n^3-n)}{3} \right]$$

(We set $\epsilon_1 = -\epsilon_2 = \hbar$)

- Z_4 is determined as

$$Z_4 = \frac{1}{2\eta^{48}} \frac{\vartheta_1(\hbar)^{20}}{\vartheta_1(4\hbar)^2 \vartheta_1(2\hbar)^2} (72\wp'^4 \wp^2 - 18\wp''^2 \wp'^2 \wp + 2\wp'' \wp'^4 + \wp''^4)$$

$$\wp = \wp(\hbar), \wp' = \wp'(\hbar), \wp'' = \wp''(\hbar)$$

World-sheet approach

(Kim-Kim-Lee-Park-Vafa '14)

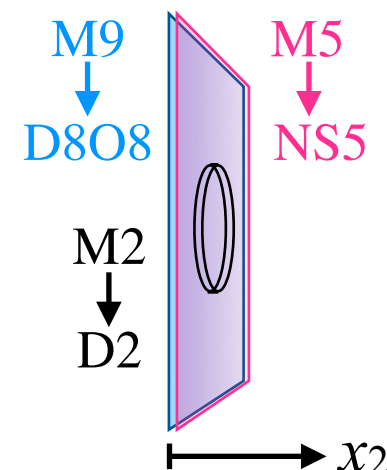
	0	1	2	3	4	5	6	7	8	9	10
11d M	M5	•	•		•	•	•	•			
	M9	•	•		•	•	•	•	•	•	•
	M2	•	•	•							

	0	1	2	3	4	5	6	7	8	9
10d IIA	NS5	•	•		•	•	•	•		
	8 D8 + O8	•	•		•	•	•	•	•	•
	n D2	•	•	•						

2d (0,4) supersymmetric gauge theory

- $O(n)$ antisymmetric vector
- $O(n)$ symmetric hyper
- $O(n) \times SO(16)$ bifundamental Fermi

IR limit = world-sheet theory of E-strings!



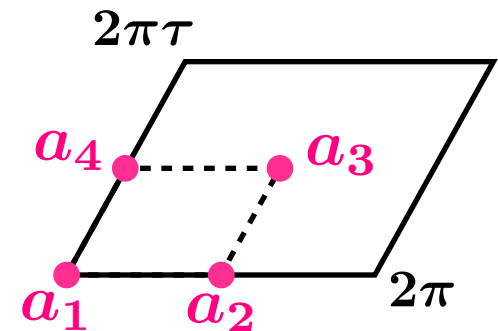
Elliptic genera of general 2d $\mathcal{N} = 2$ gauge theories can be computed by using the supersymmetric localization technique.

(Benini-Eager-Hori-Tachikawa '13)

There appear compact zero modes from the path integral, coming from the flat connections on T^2 (Wilson lines).

Classification of flat connections ($O(n)$ group elements U_1, U_2)
= Classification of possible (fractional) D0 brane configurations

n D2 and an O2 on T^2 $\xrightarrow{\text{T-dual}}$ n D0 on T^2/Z_2



There are disconnected sectors $\Rightarrow E_8$ symmetry enhancement

$$Z_n = \sum_a \frac{1}{|W_a|} \cdot \frac{1}{(2\pi i)^r} \oint Z_{1\text{-loop}}^{(a)}, \quad Z_{1\text{-loop}}^{(a)} = Z_{\text{vector}}^{(a)} Z_{\text{hyper}}^{(a)} Z_{\text{Fermi}}^{(a)}$$

$$Z_{\text{vector}}^{(a)} = \prod_{i=1}^r \left(\frac{2\pi\eta^2 du_i}{i} \cdot \frac{\vartheta_1(\epsilon_1 + \epsilon_2)}{i\eta} \right) \cdot \prod_{\alpha \in \text{root}} \frac{\vartheta_1(\alpha(u))\vartheta_1(\epsilon_1 + \epsilon_2 + \alpha(u))}{i^2\eta^2},$$

$$Z_{\text{hyper}}^{(a)} = \prod_{\rho \in \text{sym}} \frac{i\eta}{\vartheta_1(\epsilon_1 + \rho(u))} \cdot \frac{i\eta}{\vartheta_1(\epsilon_2 + \rho(u))}, \quad Z_{\text{Fermi}}^{(a)} = \prod_{\rho \in \text{fund}} \prod_{l=1}^8 \frac{\vartheta_1(m_l + \rho(u))}{i\eta}$$

The contour integral over the continuous parameters is defined (and can be evaluated) by using the Jeffrey-Kirwan residues.

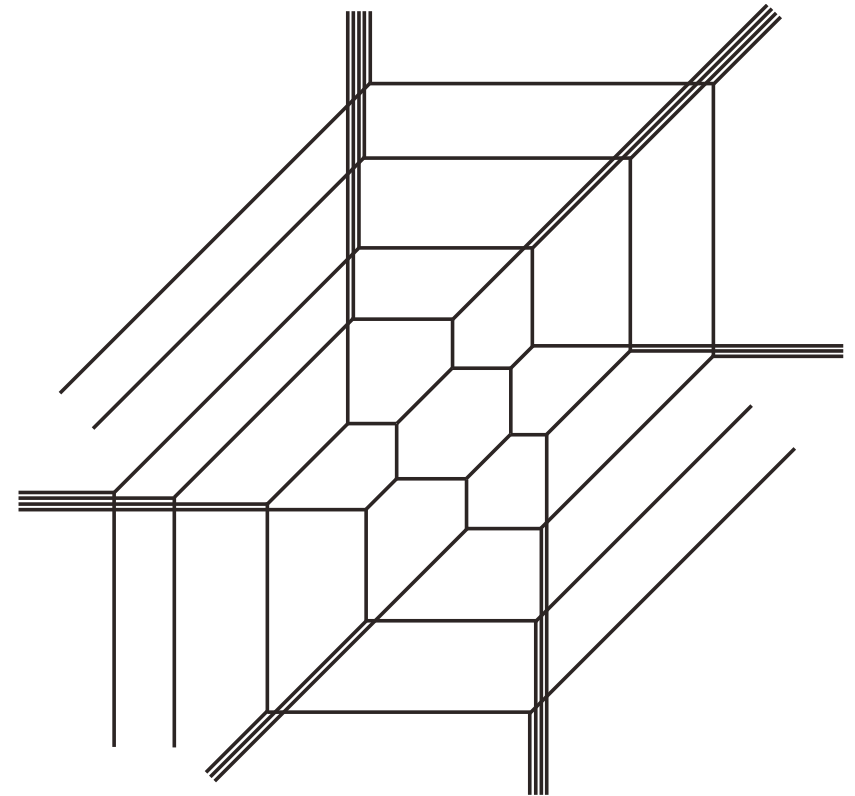
- Kim-Kim-Lee-Park-Vafa computed Z_n with $n=1,2,3,4$.
 Z_4 in the D4+D4 limit is in perfect agreement with our result.
- Z_n can in principle be computed for arbitrary n .
 The computation quickly becomes complicated for higher n .

'Toric' Web diagram for E-string theory

(Kim-Taki-Yagi '15)

- Tao (p, q) 5-brane web

- ▶ One can make full use of the topological vertex formalism!

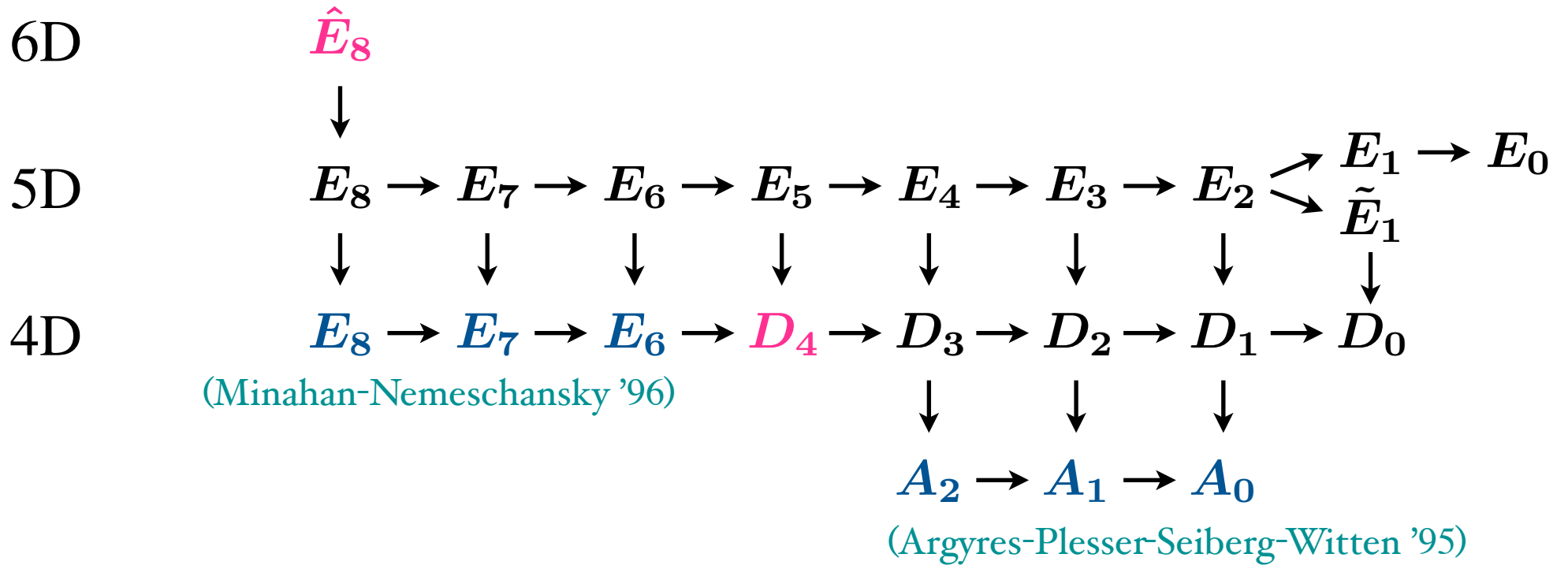


- ▶ Sum over infinitely many of partitions
- ▶ E_8 symmetry is not manifest

Outlook

- Generalization to the massive $N_f = 4$ case
(nonzero D_4 chemical potentials)
- Nekrasov-type formula for the BPS index
(with general ϵ_1, ϵ_2)
- AGT correspondence
(2d CFT interpretation of the BPS index of E-strings)

Flavor symmetries of possible effective U(1) theories



$$E_9 = \hat{E}_8$$

